

# Formulation Strategies to Enhance Health and Nutritional Value of Foods

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*28° April 2018*

# Who I am

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## Ass. Prof. In Food Science and Technology

Since 2003 @ University of Teramo (previously @ Univ. Udine)

**Coordinator** Master Degree in Food Science and Technology (international)

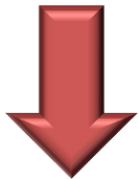
**Vice-Rector of Internationalisation** and Joint Study programmes of University of Teramo

**President of ISEKI-Food Association**

## Research expertise

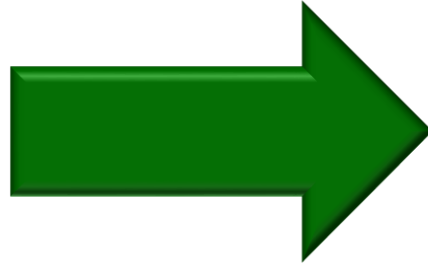
- Food quality and processing
- New product design and formulation
- Physical properties of foods





**Fresh**

**Food preparation /traformation/preservation**



**Processing/transformation**

- shelf-life  
(migration/wars,  
discoveries....)
- safety
- Improvement quality and  
sensory properties

# Introduction



Sun/wind

*Drying*



**Salting +Drying**



Salt  
Sugar

*Salting*  
*Sugar-based*  
*preserves*

**Salting+drying**  
**+smoking**



Fire/smoke

*Smoking*

**Salting+drying**  
**+smoking+ferment**  
**ation**



Environmental  
microorganisms

*Fermentation*

**Curing+salting+**  
**+Drying**



**House/home**  
**preparation**



Herbs  
/spices

*Seasonings*

*Cooking*



# Introduction



Sun/wind

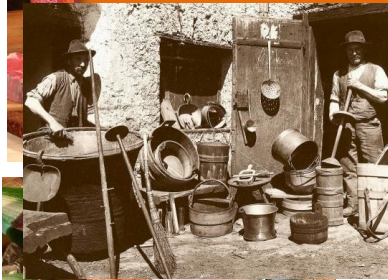
*Drying*

*Salting +Drying*

**PROCESSED FOOD PRODUCTS**

=

**HISTORICAL/TRADITIONAL**



*Salting +drying  
+smoking+ferment*

**INDUSTRIAL/MODERN  
FOOD PRODUCTS**

*ting+*

Ho



## Innovation in the food sector: drivers

### - *Advancements in science and technology*

- Identification and detection of new foodborne pathogens a
- Evaluation of presence and concentration of contaminants and undesired chemicals, at nano level.
- New applied sciences (nanomaterials)

### - *Societal and economic changes*

- Globalisation (population, food, cultures)
- Change of the importance of the quality attributes as response of modern consumers' expectations.
  - Increased relevance of healthy, sensory and convenience aspects (nutritional value and food safety: intrinsic aspects).

## Innovation in the food sector: drivers

- ***Critical points of conventional products (e.g. thermal processes)***
  - Main degradation of food nutrients
  - Change quality properties (e.g. textural and physical characteristics of food products)
  - Reduced consumer acceptability.



## Negative impact of conventional processes and formulation strategies

- **Intense thermal treatments (sanitisation, drying):**
  - Degradation of thermolabile biomolecules and nutrients
  - Triggering and development of thermo-induced reactions and formation of unhealthy compounds, e.g.
    - Maillard reaction: acrylamide,
    - Frying: fat oxidation compounds
- **Salting and ripening of meat and dairy products** (empiric process approach)
  - Excessive use of salt
  - Potentially toxic compounds (nitrosamines)
- **Use of sugar in fruit preserves**
  - Excessive use of sugar (energy intake, cariogenicity)



## Negative impact of conventional processes and formulation strategies

### **NATURALLY PRESENT**

- Cholesterol
- Caffein
- Allergenic substances (mainly proteins)
- Gluten

### **ADDED in FORMULATED foods**

- Additives
- Artificial colouring and flavouring agents
- preservatives

## Ultra-processed food (Monteiro, 2015)

Processing of substances derived from foods (by e.g. baking, frying, extruding, moulding, re-shaping, hydrogenation and hydrolysis). They generally include a large number of additives such as preservatives, sweeteners, sensory enhancers, colorants, flavours and processing aids, but little or no whole food. They may be fortified with micronutrients. The aim is to create durable, convenient and palatable ready-to-eat or ready-to-heat food products suitable to be consumed as snacks or to replace freshly prepared food-based dishes and meals

*Monteiro, CA; Moubarac, JC; Cannon, G; Ng, SW; Popkin, B (2013). "Ultra-processed products are becoming dominant in the global food system". Obes Rev. 14 Suppl 2: 21–8.*



# An «extreme» definition of processed foods



Fresh = healthy

Formulated &  
processed =  
extremely unhealthy



# An «extreme» definition of processed foods



Fresh = healthy

Formulated & processed = extremely unhealthy



- Few ingredients; avoid lengthy ingredient statements
- Ingredient names are recognizable; avoid unfamiliar names
- Transparency to consumers
- Absence of artificial ingredients
- Minimally processed
- Natural
- Allergen-free
- Uncluttered label



# «Clean label» approach

## Good (positive/ok)

Starch  
Unbleached and heat treated flours  
Vegetable oils  
Sugar  
Glucose  
Natural flavours  
Natural colours  
Unrefined cellulose / bran  
Wholegrain cereals

## Bad/borderline (some familiarity)

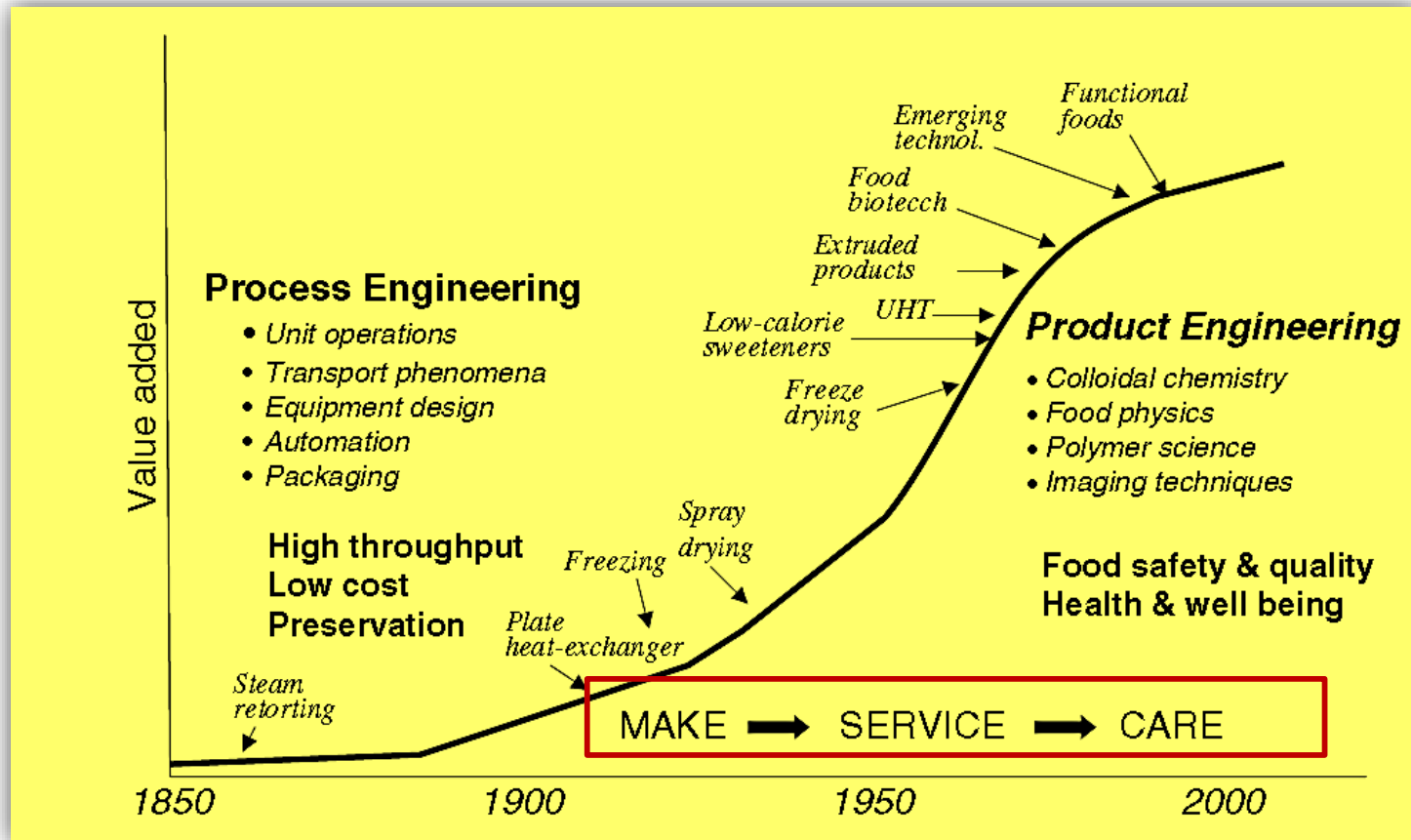
Pectin  
Maltodextrin  
Lecithin  
Guar gum

## Ugly (would avoid)

E numbers  
GM ingredients  
Bleached flours  
Mono-di glycerides  
Xanthan gum  
Polysorbate  
Carboxy methyl cellulose  
Hydroxy propyl methyl cellulose (HPMC)  
Micro crystalline cellulose  
Synthetic flavours and colours  
Sucralose  
Hydrogenated fats  
Gelatin  
Modified starch

Source: Dragon Brands; Consumer Research, Commissioned by Ingredion 2007 (UK DE and USA) and 2010 (DE and FR)

# Products and food processing evolution



Aguilera, 2006

**Natural & unprocessed**

**Nutritional balance & specific health benefits**

**Reduced ecological impact and fair trade**

**Convenience and new consumption occasions**

**Affordability**



**Balanced presence of nutrients to deliver the desired/needed energy intake**

**Reduction/replacement of undesired ingredients and macro-/micro-nutrients (nutrition, health aspects)**



**Revision of formulation  
Diversification**



*Modified from Palzer, ICEF 12 conference 2015*



**Natural & unprocessed**

**Nutritional balance & specific health benefits**

**Reduced ecological impact and fair trade**

**Convenience and new consumption occasions**

**Affordability**



**Presence and content of health promoting compounds by**

- nature
- processing (conventional/innovative)
- processing optimisation



**Presence and content of health promoting compounds**

- fortification /enrichment
- formulation (mix)

***Food product design***

*Modified from Palzer, ICEF 12 conference 2015*

- **SUGAR/mono- di-saccharides**  
(not only sweeteners....)



**AIM:** decrease energy intake, improve healthy potential, no-cariogenicity, low glycemic index)

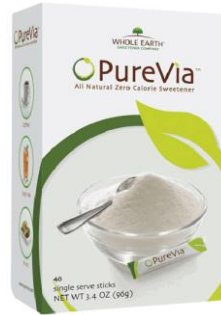
## CONSTRAINTS of REDUCTION/SUBSTITUTION

- need of addition of bulking agents (intensive sweeteners)
- decreased shelf-life due to lower water binding capacity of the alternative sweeteners (aw)
- effect on taste
- effect on other sensory quality (colour, aroma, texture)



- SUGAR/mono- di-saccharides  
(not only sweeteners....)

**STRATEGIES:** Use of alternative sweeteners (low/reduced calories, intensive)



Use of natural syrups (clean label, no/low sucrose)



# Formulation strategies to enhance nutr.& health Q

- SUGAR/mono- di-saccharides  
(not only sweeteners....)

## Low Sugar Pectin Vs. Traditional Pectin



- **SALT (Sodium chloride, NaCl)**  
(not only saltiness....)

**AIM:** decrease risk of blood diseases , ipertension)

## **CONSTRAINTS OF REDUCTION/SUBSTITUTION:**

- decreased shelf-life due to lower water binding capacity of the alternative salting agents ( $a_w$ )
- effect on taste
- effect on other sensory quality (aroma, texture)

- **SALT (Sodium chloride, NaCl)**  
(not only saltiness....)

**AIM:** decrease risk of blood diseases , ipertension)

## STRATEGIES

- Processing approaches: revision/optimisation of the conventional technological actions in salting, curing, fermentation process order to reduce (up to the limits) the salt applied (Martuscelli et al. 2015, 2017)
- Use of alternative salting agents (single/mix e.g. KCl, aminoacids....)
- Use of mix of herbs and spices (naturally containing minerals, salts

## - PROTEINS

- of vegetal origin (meat proteins substitutes, meat analogues)  
(food for consumers with dedicated diets-vegan/vegetarians)
- No gluten (celiac disease)

**AIM**: products for specific food consumers and diversification

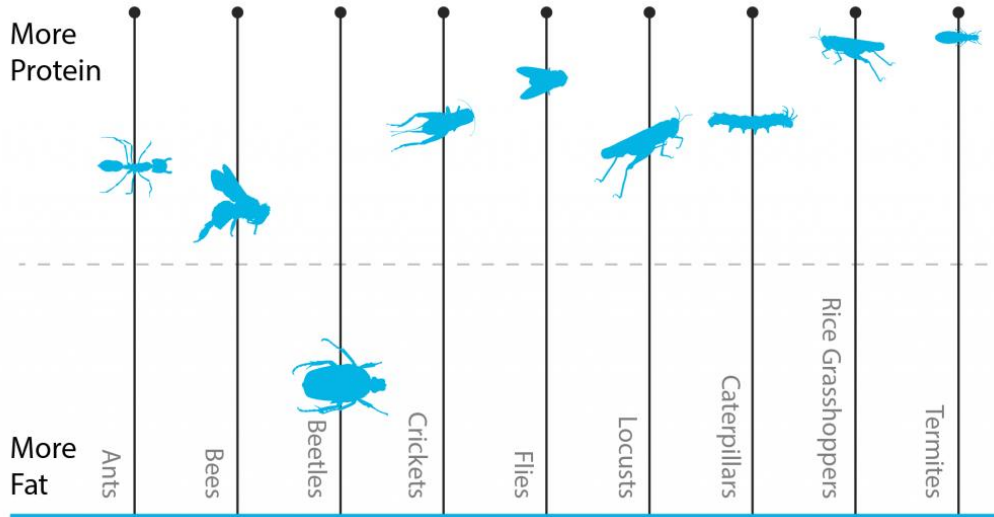
- **Meat/egg protein alternatives**
  - Soia proteins
  - Legume proteins (peas, bean, chickpea)
  - Seeds proteins (almond, hemp)
  - ...
- **New protein sources**
  - Insects!
  - Algae
  - .....



*Coconut cake with  
cricket flour*

**TECHNOLOGICAL FUNCTIONALITY HAS TO BE PROPERLY TESTED UNDER THE REQUESTED  
USES AND FORMULATION**

## - PROTEINS



Insects:

<http://www.proteinsect.eu/>

## Nutrition Facts

Per 100 grams of cooked weight	Mealworms	Crickets
	Roasted	Boiled, roasted
<b>Calories</b>	436	472
<b>Protein</b>	55.43 g	58.51 g
<b>Fat</b>	18.9 g	24.0 g
Saturated	4.13 g	8.48 g
Monounsaturated	6.48 g	5.14 g
Polyunsaturated	7.33 g	9.09 g
Omega-6	7.03 g	6.28 g
Omega-3	0.297 g	2.81 g
<b>Carbohydrates</b>	15.4 g	8.4 g
Sugars	0.5 g	0.4 g
Dietary fiber	8.7 g	6 g
<b>Cholesterol</b>	149 mg	228 mg
Vitamin A	620 IU	no data
Calcium	810 mcg	1100 mcg
Iron	37 mcg	25 mcg
Potassium	11 mg	11 mg
Sodium	1.8 mg	3.1 mg



## - PROTEINS



*Arthospira (Spirulina) platensis* : blue-green microalga (cyanobacterium) which belongs to the Lichinaceae Family.

**Phycocyanin: up to 80% of the protein fraction**

## - PROTEINS

### Applications

- *Foods* (“colouring foodstuff”, energy enhancer)
- **Nutraceutical**
- **Cosmetics**
- **Diagnostic**, clinical assays (fluorescent probe)

### Properties

#### Antioxidant

- Free Radical-scavenging activity
- Inhibition of lipid peroxidation

#### Medical and Pharma

- Hepatoprotective
- Anti-inflammatory
- neuroprotective
- Immuno-system enhancer



## - GLUTEN FREE (celiac disease)

Gluten is the proteic three dimensional elastic structure that originates during kneading of cereals containing gluten proteins (gliadins and glutenins) in presence of water.

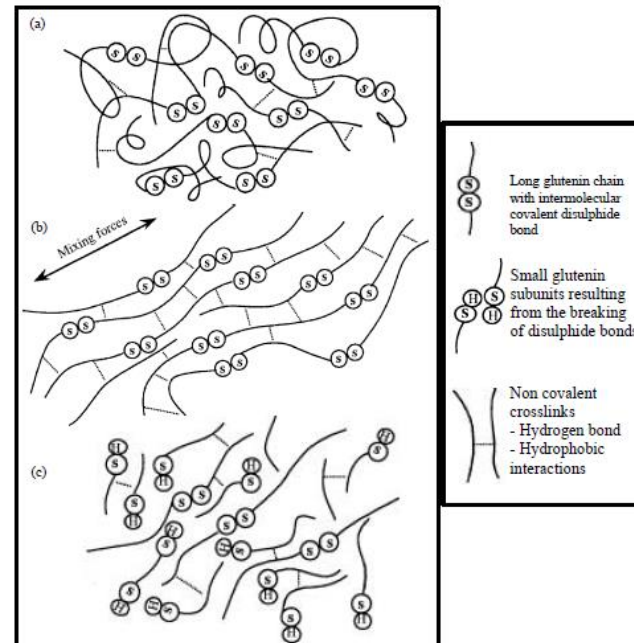











Fig. 3: Molecular interpretation of gluten development (a) beginning of mixing, (b) optimum development and (c) overmixing (Letang *et al.*, 1999)

**CONSTRAINTS:** causes celiac disease with main health symptoms and injuries in the bowl and side-effects

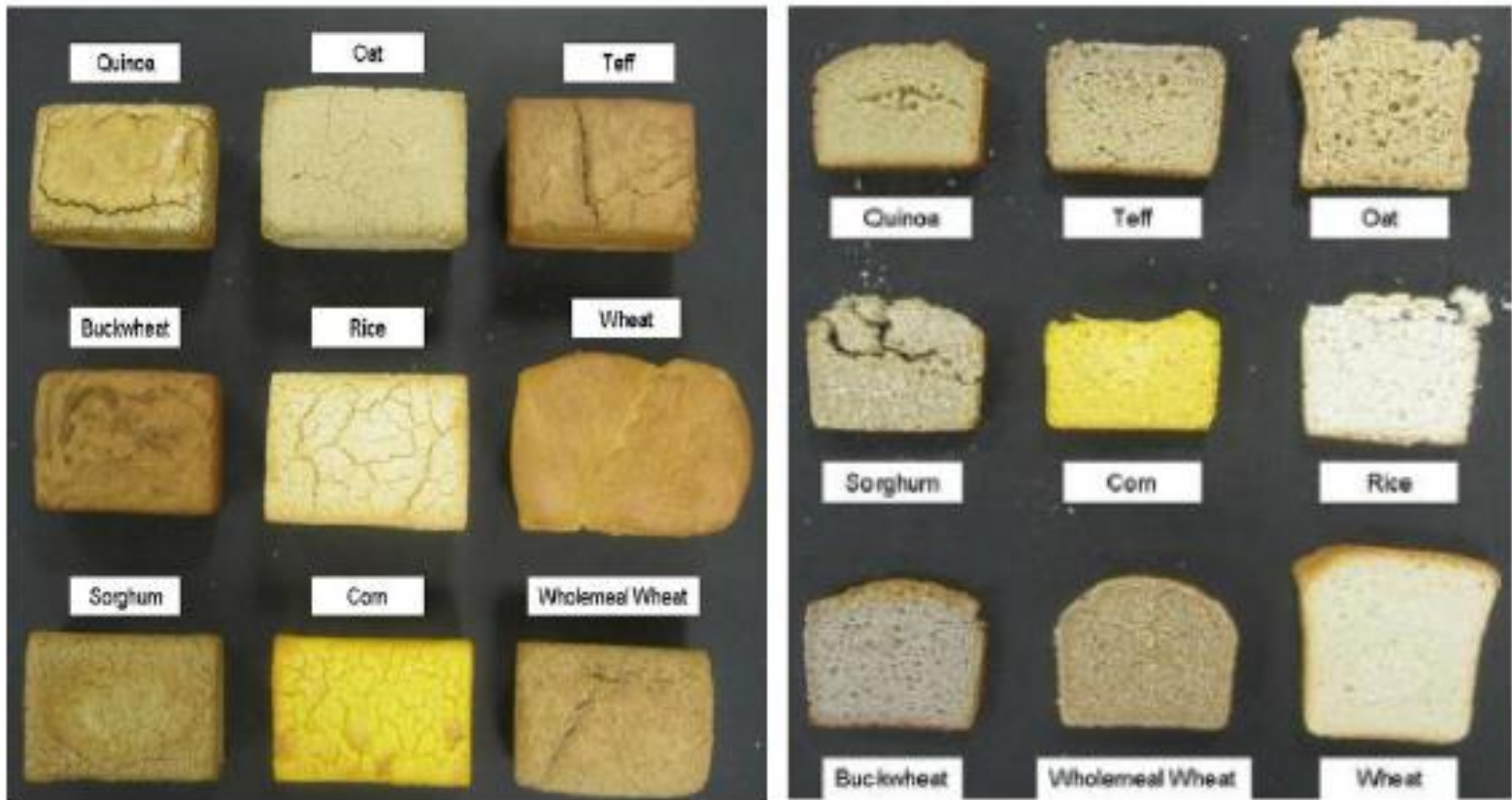
## - GLUTEN FREE (celiac disease)

Use of pseudo-cereals, starches + able to develop a three dimensional network similar to gluten

		„Standard“ cereals		„New“ (pseudo-) cereals					
Gluten free	}		Rice		Buckwheat	}	Gluten free		
			Maize		Quinoa				
Not gluten free	}	Bread wheat, Durum, Rye, Spelt, Barley, ...			Millet			}	Gluten free
					Sorghum				
					Teff				
					Oat				

## - GLUTEN FREE (celiac disease)

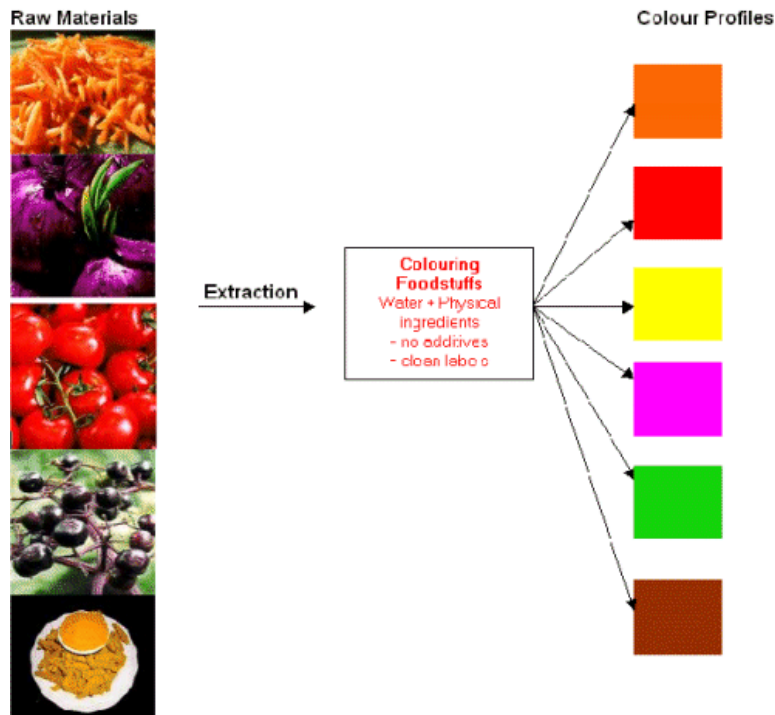
Use of pseudo-cereals, starches + able to develop a three dimensional network similar to gluten



## - AROMA AND FLAVOUR

**AIM:** to avoid use of E-labelled additives

**STRATEGIES:** Use of natural extracts (plants, fruits, vegetables, essential oils)



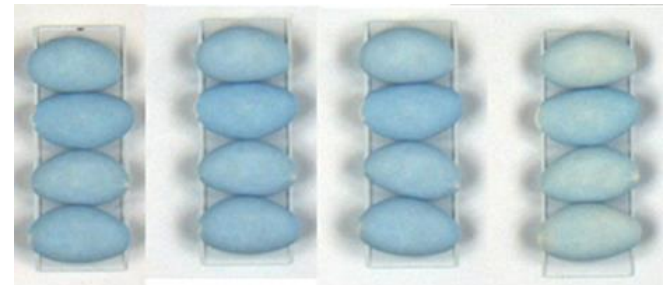
**CONSTRAINTS: COLOURING EXTRACTS: poor STABILITY, with destabilisation correlated to discoloration/ bleaching**

Matrix & environmental destabilising factors:

- pH
- Temperature
- Light



**Temperature  
& light**



Freshly made 10 d 20 d 60 d

**Light, 60% RH**

**Temperature  
& pH**



**NEED OF IDENTIFICATION STABILISING PROCESSING AND FORMULATION ACTIONS TO PREVENT DISCOLORATION/LOSS QUALITY**

## - FORTIFICATION/ADDITION DESIRED COMPOUNDS

**AIM:** to enhance by formulation the nutritional and health potential of the final product

### BIOMOLECOLES /COMPOUNDS OF MAIN INTEREST

- Phytochemicals
- Phenolic compounds (extracts, pure compounds)
- Minerals
- Vitamins
- Fibers
- Pre-biotics
- Probiotics
- Essential aminoacids, fatty acids
- ....



## - FORTIFICATION/ADDITION DESIRED COMPOUNDS

### BIOMOLECOLES /COMPOUNDS OF MAIN INTEREST

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- ....

**NUTRITIONAL/HEALTH VALUE**

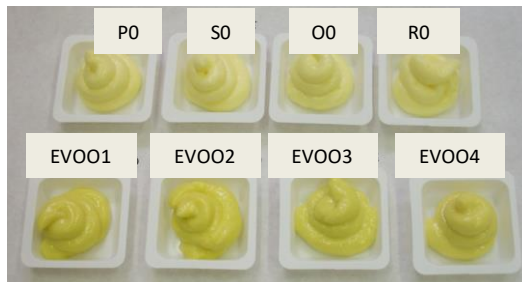
**TECHNOLOGICAL PROPERTIES (ES. IN COLLOIDAL SYSTEMS)**



**BIOACCESSIBILITY?**

## - FORTIFICATION/ADDITION DESIRED COMPOUNDS

### Role of phenolic compounds in emulsified systems (case study)



LWT - Food Science and Technology xxx (2014) 1–7

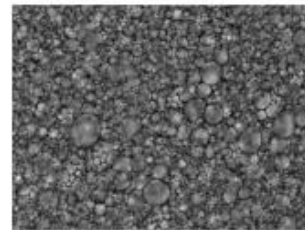


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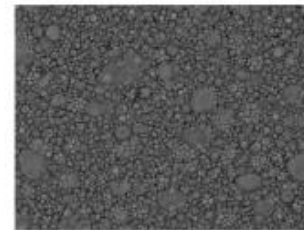
Contents lists available at ScienceDirect

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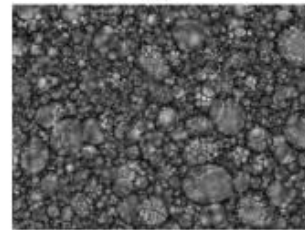
journal homepage: [www.elsevier.com/locate/lwt](http://www.elsevier.com/locate/lwt)



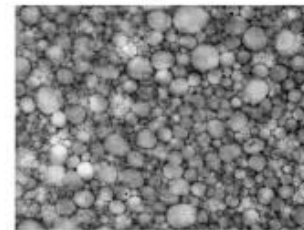
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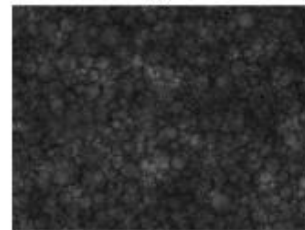
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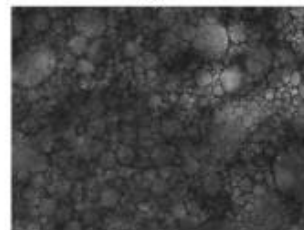
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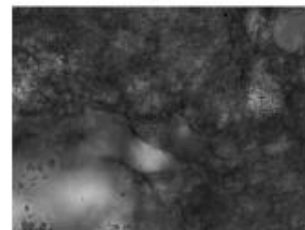
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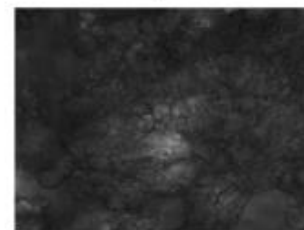
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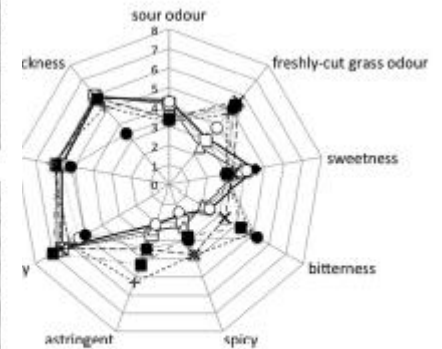
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Sensory profiles of mean values for the mayonnaise samples produced with different oils: sunflower oil SD (●), peanut oil PO (□), refined oil (△), olive a-virgin olive oils EVOO1 (■), EVOO2 (×), EVOO3 (+), EVOO4 (●).

Physical and structural properties of extra-virgin olive oil based mayonnaise

Carla Di Mattia <sup>a,\*</sup>, Federica Balestra <sup>b</sup>, Giampiero Sacchetti <sup>a</sup>, Lilia Neri <sup>a</sup>,  
Dino Mastrocola <sup>a</sup>, Paola Pittia <sup>a</sup>

## - UNRAVEL HEALTH POTENTIAL OF NATURAL, UNDERESTIMATED SOURCES AND BY-PRODUCTS

**AIM:** To add-value to biodiversity and traditional/old raw materials as healthy ingredients

To recover healthy compounds from waste

**Valorisation of biodiversity**



Original Article

Chemical composition and antioxidant activity of cured chestnuts from three sweet chestnut (*Castanea sativa* Mill.) ecotypes from Italy

L. Neri, G. Dimitri, G. Sacchetti\*

Department of Food Science, University of Teramo, Via C.R. Lerici 1, 64023 Mosciano Stazione, Teramo, Italy

**Table 4**

Means and standard deviations of the organic acids, polyphenols concentration and antioxidant activity of chestnuts and ANOVA analysis of the effects of ecotype and harvesting year.

Ecotype	Year	Malic acid (%d.w.)	Ascorbic acid (%d.w.)	Total polyphenols ( $\mu\text{g GAE g}_{\text{d.w.}}^{-1}$ )	Antioxidant activity ( $\mu\text{moles Trolox eq. g}_{\text{d.w.}}^{-1}$ )
VC	2003	0.224 $\pm$ 0.008	0.032 $\pm$ 0.009	87.2 $\pm$ 6.5	6.49 $\pm$ 0.19
VC	2004	0.290 $\pm$ 0.120	-	89.2 $\pm$ 7.3	5.49 $\pm$ 0.21
CDR	2003	0.330 $\pm$ 0.094	0.059 $\pm$ 0.008	133 $\pm$ 8.5	8.15 $\pm$ 0.16
CDR	2004	0.152 $\pm$ 0.002	0.028 $\pm$ 0.002	105 $\pm$ 7.6	4.77 $\pm$ 0.34
MR	2003	0.188 $\pm$ 0.005	0.078 $\pm$ 0.007	101 $\pm$ 6.2	6.00 $\pm$ 0.49
MR	2004	0.181 $\pm$ 0.004	0.128 $\pm$ 0.010	157 $\pm$ 20	5.59 $\pm$ 0.40
F	Ecotype (E)	n.s.	123.36***	24.51***	6.77*
F	Year (Y)	n.s.	n.s.	n.s.	140.75***
F	E $\times$ Y	6.05*	90.71***	24.56***	35.8***

d.w.: dry weight; n.s.: not significant. Significance level: \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ .

## - UNRAVEL HEALTH POTENTIAL OF NATURAL, UNDERESTIMATED SOURCES AND BY-PRODUCTS

### • Apples (from autoctonous cultivars)

International Journal of Food Science and Technology 2008, 43, 797-804

Original article

**Influence of processing and storage on the antioxidant activity of apple derivatives**

Giampiero Sacchetti,<sup>1\*</sup> Emiliano Cocci,<sup>2</sup> GianGaetano Pinnavaia,<sup>2</sup> Dino Mastrocola<sup>1</sup> & Marco Dalla Rosa<sup>2</sup>

<sup>1</sup> Dipartimento di Scienze degli Alimenti, Università degli Studi di Teramo, Via Carlo Lerici 1, Mosciano Stazione, 64023 Teramo, Italy  
<sup>2</sup> Campus di Scienze degli Alimenti, Università degli Studi di Bologna, Sede di Cesena, Piazza Goidanich 60, 47023 Cesena, Italy

Apple variety	Polyphenols (mg g <sup>-1</sup> d.w.)	Ascorbic acid (mg 100 g <sup>-1</sup> d.w.)	TEAC (μmol Trolox eq. g <sup>-1</sup> d.w.)
Campanino	4.99 ± 0.10	16.43 ± 0.73	48.97 ± 1.35
Commercio	2.21 ± 0.67	11.56 ± 0.64	7.17 ± 0.35
Decio	3.01 ± 1.19	9.02 ± 0.75	13.30 ± 3.09
Durello	4.34 ± 0.61	12.66 ± 0.30	26.91 ± 3.67
Golden Delicious	2.65 ± 0.08	9.89 ± 0.43	15.17 ± 1.27
Puppino	5.29 ± 1.63	18.78 ± 1.17	44.20 ± 4.24
Verdone	3.95 ± 1.34	14.29 ± 0.48	35.18 ± 2.87

**Table 1** Antioxidants content and Trolox equivalent antioxidant capacity (TEAC) (±S.D.) of fresh fruits



## - UNRAVEL HEALTH POTENTIAL OF NATURAL, UNDERESTIMATED SOURCES AND BY-PRODUCTS

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Apple variety	Dried cubes		Purees	
	TEAC ( $\mu\text{mol Trolox eq. g}^{-1} \text{d.w.}$ )	$\Delta h^{\circ}$ (%)	TEAC ( $\mu\text{mol Trolox eq. g}^{-1} \text{d.w.}$ )	$\Delta h^{\circ}$ (%)
Campanino	13.80 $\pm$ 2.45	19.2 $\pm$ 0.45	11.74 $\pm$ 0.85	9.1 $\pm$ 0.73
Commercio	5.71 $\pm$ 0.43	13.2 $\pm$ 0.44	6.86 $\pm$ 0.98	18.1 $\pm$ 0.43
Decio	3.87 $\pm$ 1.12	17.9 $\pm$ 0.49	2.33 $\pm$ 0.10	15.3 $\pm$ 0.41
Durello	20.30 $\pm$ 2.03	15.7 $\pm$ 0.29	8.29 $\pm$ 1.17	9.2 $\pm$ 0.59
Golden Delicious	6.32 $\pm$ 0.37	9.9 $\pm$ 0.27	4.56 $\pm$ 0.36	-3.0 $\pm$ 0.42
Puppino	16.58 $\pm$ 4.29	12.6 $\pm$ 0.47	9.37 $\pm$ 1.04	6.0 $\pm$ 0.70
Verdone	30.01 $\pm$ 3.51	14.8 $\pm$ 0.15	8.12 $\pm$ 0.39	17.4 $\pm$ 0.24



Valorisation of biodiversity

## - NEW INGREDIENTS FROM ISOLATED/EXTRACTED BIOCOMPOUNDS ISOLATED



**Raw materials  
(biodiversity, minor)  
By-products  
Waste**

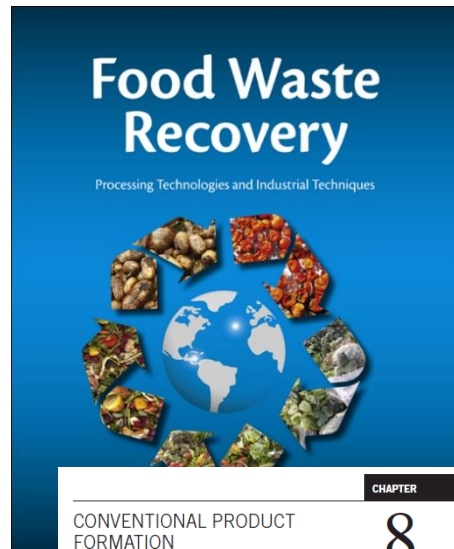


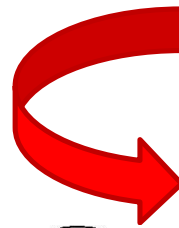
Table 8.1 General Technological Functionalities of the Main Compounds Present in Food Waste and By-Products

Compound	Origin/Source of Waste and By-Products	Solubility	Technological/Quality Functionality
Pectin	Fruit and vegetable extracts	Water	Gelling and structuring Surface activity
Proteins	Meat (animal) Milk Eggs Vegetables (legumes) Seeds	Water Amphiphilic behavior	Emulsifying and foaming activity Gelling and structuring Binding (aroma, lipids) Antioxidant properties
Peptides and amino acids	Meat (animal) Milk Vegetables Seeds	Water Amphiphilic behavior	Solubility Emulsifying and foaming activity Bioactivity Health properties
Oligosaccharides	Fruit and vegetables	Water	Solubility Healthy properties
Polysaccharides	Fruit and vegetables	Water	Water-holding and binding properties Gelling and structuring
Hydrocolloids and gums	Vegetables, seeds	Water	Gelling and structuring Water-holding capacity
Oils and fats	Animal, fish, seeds	Oil	Structure forming Binding (aroma, proteins) Sensory properties
Phenolic compounds	Plant and fruit extracts	Water-to-oil depending on chemical structure and molecular weight	Antioxidant Health properties Surface activity Sensory properties (color and taste)
Phytochemicals	Plant extracts	Water-to-oil depending on chemical structure and molecular weight Some have amphiphilic behavior	Solubility Surface activity Emulsifying properties Healthy properties
Pigments	Plant and fruit extracts Algae and seaweeds extracts Meat (myoglobin)	Water-to-oil depending on the compound	Color and sensory properties
Aroma compounds and essential oils	Plant and fruit extracts	Water-to-oil depending on the compound	Aroma and sensory properties

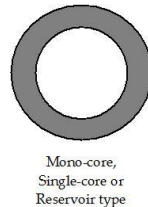
## Isolation of compounds of interest



## Conventional/innovative processes to produce new ingredients/additives



Extraction, emulsions, drying



**PROTECTION/  
SHELF-LIFE  
CONTROLLED RELEASE**

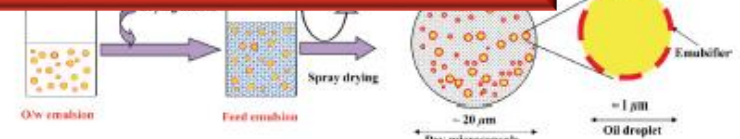
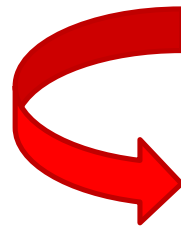


FIGURE 8.2 Microencapsulation of Lipophilic Food Ingredients by Spray Drying

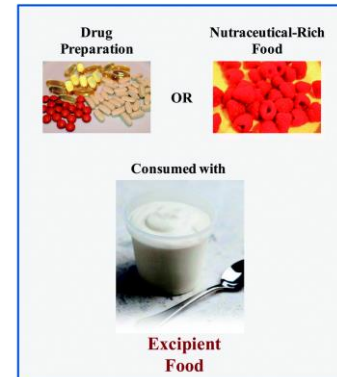
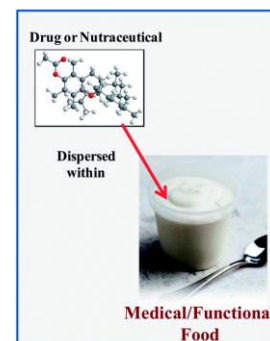
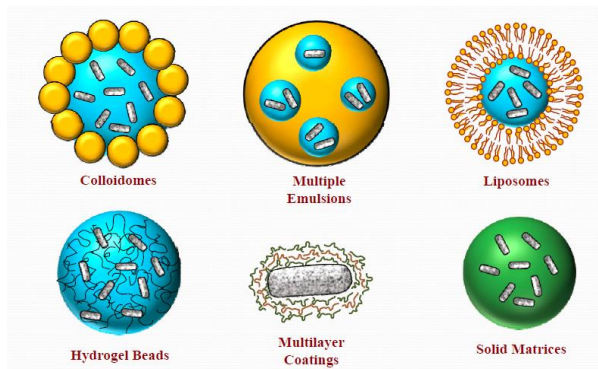
## Isolation of compounds of interest



## Conventional/innovative processes to produce new ingredients/additives



## Micro/nano encapsulation





**Any change/action on processing and formulation of a beverage requires an optimisation step to identify factors affecting its expected quality, safety and stability.**

## Stability

- microbial
- enzymatic
- physical (e.g. colloidal)
- chemical (e.g. oxidation)



Any change/action on processing and formulation of a food requires an optimisation step to identify factors affecting its expected quality and stability.

## Formulation

- *selection ingredients and additives*
- *recipe/formulation*

## Processing main factors:

- *Technological parameters*
- *Formulation properties*
  - *pH (high, low acidity)*
  - *Ingredients (nutrients, natural/added antioxidants....)*
  - *expected shelf-life (stability: microbial, enzymatic, ...)*



Thank you very much...

Any Question?

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